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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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## UTILITY PATENT APPLICATION TRANSMITTAL

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	Attorne	ey Docket i	No.	BB1067 US CNT			
	First In	ventor	S. Ca	S. Carl Falco et al.			
	Tıtle			ne Synthase Gene and Methods for Increasing the ntent of the Seeds of Plants			
	Cunuan	a Mail Lab	-1 81-	ET511105271HC	υ		

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b)) Assistant Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: Box Patent Application See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 1. 🛛 Fee Transmittal Form (e.g., PTO/SB/17) 7. CD-ROM or CD-R in duplicate, large table or (Submit an original and a duplicate for fee processing) Computer Program (Appendix) 2. Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) 3. 🔲 Specification Total Pages a. Computer Readable Form (CRF) (preferred arrangement set forth below) b. Specification Sequence Listing on: Descriptive title of the Invention i. CD-ROM or CD-R (2 copies); or - Cross Reference to Related Applications ii. 🗌 paper - Statement Regarding Fed sponsored R & D c. Statements verifying identity of above copies - Reference to sequence listing, a table, or a computer program listing appendix ACCOMPANYING APPLICATIONS PARTS - Background of the Invention - Brief Summary of the Invention Assignment Papers (cover sheet & document(s)) - Brief Description of the Drawings (if filed) 10. 🔲 37 C.F.R.§3.73(b) Statement Power of - Detailed Description (when there is an assignee) Attorney - Claim(s) - Abstract of the Disclosure 11. 🔲 English Translation Document (if applicable) 12. Information Disclosure Copies of IDS 4. Drawing(s) (35 U.S.C.113) [Total Sheets 1 Statement (IDS)/PTO-1449 Citations 5. Oath or Declaration [Total Pages 13. **Preliminary Amendment** a. Newly executed (original or copy) 14. 🔯 Return Receipt Postcard (MPEP 503) b. Copy from a prior application (37 CFR 1.63 (d)) (Should be specifically itemized) (for a continuation/divisional with Box 18 completed) 15. 🔲 Certified Copy of Priority Document(s) i. DELETION OF INVENTOR(S) (if foreign priority is claimed) Signed statement attached deleting inventor(s) 16. 🔲 Nonpublication Request under 35 U.S.C. 122 named in the prior application, see 37 CFR (b)(2)(B)(i). Applicant must attach form PTO/SB/35 1 63(d)(2) and 1.33(b). or its equivalent. 6. Application Data Sheet. See 37 CFR 1.76 Other: 17.  $\square$ 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: ☑ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09/377,431 filed August 19, 1999 which is a CIP of application No.: 08/703,829 filed August 27, 1996 now abandoned which claimed priority of provisional application 60/002,973 filed August 30, 1995 Prior application information: Examiner D. Guzo Group / Art Unit: 1636 For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts. 17. CORRESPONDENCE ADDRESS Customer Number or Bar Code Label Correspondence address below Name Address City State Zip Code Telephone Country Fax Name (Print/Type) Lynne M. Christenbury Registration No. (Attorney/Agent) 30,971 Signature Date 11/20/01

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## Complete if Known **FEE TRANSMITTAL Application Number** for FY 2002 November 20, 2001 Filing Date S. Carl Falco et al. First Named Inventor Patent fees are subject to annual revision. **Examiner Name** Unkown Unknown ☐ Applicant Claims small entity status. See 37 CFR 1.27 Group / Art Unit **BB1067 US CNT** 740 Attorney Docket No. TOTAL AMOUNT OF PAYMENT

METHOD OF PAYMENT (check all the	FEE CALCULATION (continued)							
☐ Check ☐ Credit card ☐ Money Order [	3. ADDITIONAL FEES							
		Large Entity   Small Entity						
Deposit Account:	Fee	Fee	Fee	Fee	Fee Description	Fee		
	Code 105	(\$) 130	Code	(\$)	·	Paid		
Deposit Account 04-1928	04-1928			205	65	Surcharge - late filing fee or oath		
Number				227	25	Surcharge - late provisional filing fee or cover sheet.		
Deposit	139	130	139	130	Non-English specification			
Account E. I. du Pont de Nemours	147	2,520	147	2,520	For filing a request for reexamination			
The Commissioner is authorized to: (check all that a	pply)	112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
Charge fee(s) indicated below Credit	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action			
Charge any additional fee(s) during the pendency of		115	110	215	55	Extension for reply within first month		
Charge fee(s) indicated below, except for the filing above-identified deposit account	gree to the	116	400	216	200	Extension for reply within second month		
		117	920	217	460	Extension for reply within third month		
FEE CALCULATION		118	1,440	218	720	Extension for reply within fourth month		
1. BASIC FILING FEE		128	1,960	228	980	Extension for reply within fifth month		
Large Entity   Small Entity		119	320	219	160	Notice of Appeal		
Fee Fee Fee Fee Description		120	320	220	160	Filing a brief in support of an appeal		
Code (\$) Code (\$)	Fee Paid	121	280	221	140	Request for oral hearing		
101 740 201 370 Utility filing fee 106 330 206 165 Design filing fee	740	138	1,510	138	1,510	Petition to institute a public use proceeding		
107 510 207 255 Plant filing fee		140	110	240	55	Petition to revive - unavoidable		
108 740 208 370 Reissue filing fee		141	1,280	241	640	Petition to revive – unintentional		
114 160 214 80 Provisional filling fe	e	142	1,280	242	640	Utility issue fee (or reissue)		
1		143	460	243	230	Design issue fee		
SUBTOTAL (1)	(\$) 740	144	620	244	310	Plant issue fee		
332.02(.)	(4) 140	122	130	122	130	Petitions to the Commissioner		
2. EXTRA CLAIM FEES	ee from Fee	123	50	123	50	Processing fee under 37 CFR 1.17(q)		
Extra F	126	180	126	180	Submission of Information Disclosure Stmt			
Total Claims 20 -20 = 0 X 18 = 0			40	581	40	Recording each patent assignment per property (times number of properties)		
Claims 3 -3 = 0 X 84 = 0			740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		
Multiple X Dependent	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))			
Large Entity Small Entity		179	740	279	370	Request for Continued Examination		
Fee Fee Fee Fee Descript Code (\$) Code (\$)	<u>ion</u>	169	900	169	900	(RCE) Request for expedited examination of a		
103 18 203 9 Claims in exc	ess of 20	169	900	109	900	design application		
102 84 202 42 Independent	claims in excess of 3	1						
104 280 204 140 Multiple depe	Other fee (specify)							
109 84 209 42 ** Reissue inc original paten								
110 18 210 9 ** Reissue cla over original								
SUBTOTAL (2)					,			
**or number previously paid, if greater, For Reissues,	*Redu	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0						

SUBMITTED BY	COmplete (if applicable)						
Name (Print/Type)	Lynne M. Christnebury	Registration No. Attorney/Agent)	30,971	Telephone	302-992-5481		
Signature	Lynne M.	Christolicry		Date	11/20/01		

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